



Attorney's Docket No: 5577-217

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Brabson et al.  
Serial No.: 09/760,975  
Filed: January 16, 2001  
For: METHODS, SYSTEMS AND COMPUTER PROGRAM PRODUCTS FOR  
PROVIDING TRANSACTIONAL QUALITY OF SERVICE

Date: July 1, 2004

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
PURSUANT TO 37 C.F.R. § 1.97(d)**

Sir:

Attached is a list of documents on form PTO-1449. The items listed on the PTO-1449 were cited in parent application Serial No. 09/693,268, filed October 20, 2000. As the benefit of this application is claimed under 35 U.S.C. § 120, no copies need to be furnished in accordance with 37 C.F.R. § 1.98(d); however, copies will be furnished on request. This Information Disclosure Statement is submitted in accordance with 37 C.F.R. § 1.97(c), before final Office Action or Allowance, whichever is earlier.


The Commissioner is authorized to charge the \$180.00 petition fee set forth in 37 C.F.R. § 1.17(i) as required by 37 C.F.R. § 1.92(d)(3) to IBM Deposit Account No. 09-0461.

The Commissioner also is authorized to charge any additional fee or credit any refund to IBM Deposit Account No. 09-0461.

07/08/2004 WABRHAM1 00000068 090461 09760975

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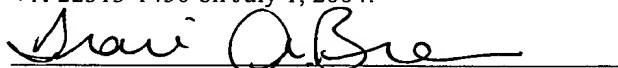
Respectfully submitted,

  
Timothy J. O'Sullivan  
Registration No. 35,632

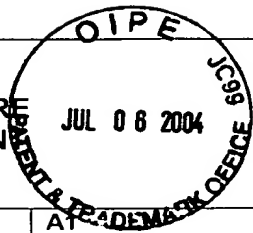
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Traci A. Brown

Substitute form 1449A/PTO		<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		Application Number	09/760,975
		Filing Date	01-16-2001
		First Named Inventor	Roy Frank Brabson
		Group Art Unit	2154
		Examiner Name	Jinsong Hu
Sheet <b>A1</b>	of <b>A1</b>	Attorney Docket Number	5577-217



U.S. PATENTS AND PATENT PUBLICATIONS					
Examiner Initials*	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code (if known)		
	1.	5,563,878		Blakeley et al.	10-08-1996
	2.	6,253,248		Nakai et al.	06-26-2001
	3.	6,449,647		Colby et al.	09-10-2002
	4.	6,442,553		Take	08-27-2002
	5.	6,493,813		Brandin et al.	12-10-2002
	6.	6,542,488		Walton et al.	04-01-2003
	7.	6,549,699		Belser et al.	04-15-2003
	8.	6,556,982		McGaffey et al.	04-29-2003
	9.	6,625,689		Narad et al.	09-23-2003
	10.	6,678,518		Eerola	01-13-2004
	11.	6,700,809		Ng et al.	03-02-2004
	12.	6,718,809		Utyashev et al.	04-13-2004
	13.	2002/0091802		Paul et al.	07-11-2002
	14.	2002/0152307		Doyle et al.	10-17-2002
	15.	2003/0191829		Masters et al.	10-09-2003

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OTHER NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T
	16.	"Allot Announces the General Availability of its Directory Services-Based NetPolicy™ Manager." Press Bulletin. Tel Aviv, Israel, February 28, 2000	
	17.	"Allot Communications Announces Business-Aware Network Policy Manager." Press Bulletin. Sophia Antipolis, France, September 20, 1999.	
	18.	"Allot Communications Announces Directory Services Based Network Policy Manager." Press Bulletin. Los Gatos, CA, April 5, 1999.	
	19.	"Allot Communications Announces the NetEnforcer Family of IP Traffic Management Products: Fault-Tolerant, Scaleable, Policy-Based Bandwidth Management, QOS, SLA Solutions." Press Bulletin. Burlingame, CA, December 13, 1999.	
	20.	"Allot Communications Launches NetEnforcer with NetWizard, the Fastest Way to Implement Accurate and Reliable Network QOS Policies." Press Bulletin. Burlingame, CA, January 25, 2001.	
	21.	"Allot Introduces Turnkey Next Generation IP Service and Creation Solution—the Virtual Bandwidth Manager." Press Bulletin. Atlanta, GA, SUPERCOMM 2000, Booth #8458, June 5, 2000.	
	22.	"Data Communications Awards Allot Communications 'Hot Producer' in Internetworking/IP Tools Category." Press Bulletin. Los Gatos, CA, January 18, 1999.	
	23.	"Policy-Based Network Architecture." From <a href="http://www.allot.com">www.allot.com</a> , pp.1-11.	
	24.	Aron et al. "Efficient Support for P-HTTP in Cluster-Based Web Servers." Proc. Of 1999 Annual Usenix Technical Conference, Monterey, CA, June 1999.	
	25.	Aron et al. "Scalable Content-aware Request Distribution in Cluster-based Network Server." Proc. Of the 2000 Annual Usenix Technical Conference, San Diego, CA, June 2000.	
	26.	Aron, Mohit. "Scalable Content-aware Request Distribution in Cluster-based Network Servers." <a href="http://softlib.rice.edu/softlib/scalableRD.html">http://softlib.rice.edu/softlib/scalableRD.html</a> . Department of Computer Science, Rice University.	
	27.	Hash Tables, Introduction to Algorithms, by T.H. Cormen, C.E. Leiserson and R.L. Rivest; Chapter 12, MIT Press, 1990.	
	28.	Internet draft entitled "Policy Core LDAP Schema," draft-IETF-policy-core-schema-07.txt, July 14, 2000 ("IETF proposal").	
	29.	Pai et al. <i>Locality-Aware Request Distribution in Cluster-based Network Servers</i> . Proceedings of the 8 <sup>th</sup> International Conference on Architectural Support for Programming Languages and Operating Systems (ASPLOS VIII), San Jose, CA, October, 1998.	
	30.	URL Forwarding and Compression in Adaptive Web Caching, <i>Proceeding IEEE INFOCOM 2000</i> ; by B.S. Michel, et al., Vol. 2, pp. 670-678.	

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.